

How do you feel about risk?

Client's Name

Please tick the most appropriate answer	Strongly Disagree	Disagree	Neither Agree or Disagree	Agree	Strongly Agree
1. I would be willing to risk a percentage of my income / capital in order to get a good return on an investment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. To achieve high returns, it is necessary to choose high-risk investments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. When I'm faced with a financial decision I am generally more concerned about the possible losses than the probable gains	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. I would rather know that I was getting a guaranteed rate of return than be uncertain about my investments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Compared to the average person, I take lower financial risks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. I would rather put my money in a bank account than invest in shares	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. I do not feel comfortable with financial uncertainty	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. I would accept potential losses in order to pursue long-term investment growth	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Client's Name

Please tick the most appropriate answer

Strongly Disagree

Disagree

Neither Agree or Disagree

Agree

Strongly Agree

- | | | | | | | |
|-----|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| 9. | Taking financial risks is important to me | <input type="checkbox"/> |
| 10. | I would be happy investing a large proportion of my income / capital in a high-risk investment | <input type="checkbox"/> |

What is your capacity for risk?

The following questions are not part of the psychometric attitude assessment and have no direct impact on the questionnaire results or selected risk level.

However, the answers will help the investor and adviser identify areas that should also be considered when assessing suitability and choosing a risk level.

When do you intend to use the invested money?

 Short term (0 – 5 years)

 Medium term (5 -10 years)

 Long term (10+ years)

 No fixed term

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How much of this investment could you stand to lose without having a significant impact on your future standard of living?

None or very limited losses

Small / medium losses could be tolerated

Large losses would have a low impact on future lifestyle

If you needed sudden access to a lump sum, how likely is it that you would need to encash this investment?

I have other savings and investments, which I can use for most needs

I may need this investment if I needed access to a significant amount of money quickly

I would almost certainly need access to this investment

Client's signature

Date